


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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: October 20, 2003 (use as many sheets as necessary)				Complete if Known		
				Application Number		09/971,087
				Filing Date		10/05/2001
				First Named Inventor		Gay Allan KEMP, II
				Group Art Unit		3624
				Examiner Name		R. Weisberger
Sheet 1 of 2				Attorney Docket Number		024051/0146

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
[Signature]	A1	5,689,651		LOZMAN	11/18/1997	
	A2	5,774,877		PATTERSON, JR. ET AL.	06/30/1998	
	A3	5,793,301		PATTERSON, JR. ET AL.	08/11/1998	
	A4	5,797,002		PATTERSON, JR. ET AL.	08/18/1998	
	A5	5,845,266		LUPIEN ET AL.	12/01/1998	
	A6	5,915,245		PATTERSON, JR. ET AL.	06/22/1999	
	A7	5,963,923		GARBER	10/05/1999	
	A8	6,012,046		LUPIEN ET AL.	01/04/2000	
	A9	6,014,643		MINTON	01/11/2000	
	A10	6,098,051		LUPIEN ET AL.	08/01/2000	
	A11	6,195,647		MARTYN ET AL.	02/27/2001	
	A12	6,272,474		GARCIA	08/07/2001	
	A13	6,278,982		KORHAMMER ET AL.	08/21/2001	
	A14	4,903,201		WAGNER	02/20/1990	
	A15	5,101,353		LUPIEN ET AL.	03/31/1992	
	A16	5,946,667		TULL, JR. ET AL.	08/31/1999	
	A17	6,035,287		STALLAERT ET AL.	03/07/2000	
	A18	4,674,044		KALMUS ET AL.	06/18/1987	
	A19	4,750,135		BOILEN	08/07/1988	
	A20	5,270,922		HIGGINS	12/14/1993	
	A21	5,924,083		SILVERMAN ET AL.	07/13/1999	
	A22	2002/0055899		WILLIAMS	05/09/2002	
	A23	2002/0138401		ALLEN ET AL.	09/26/2002	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A24	PCT	WO 95/26005	A1	BELZBERG, Sydney H.	09/28/1995		
	A25	PCT	WO99/30259	A1	NIPPON STEEL CORPORATION	06/17/1999		Abs.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
[Signature]	A26	www.tradingtechnologies.com/products/xtrade_full.html	

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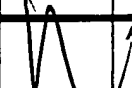
¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: October 20, 2003 <i>(use as many sheets as necessary)</i>		Application Number	09/971,087
		Filing Date	10/05/2001
		First Named Inventor	Gay Allan KEMP, II
		Group Art Unit	3624
		Examiner Name	R. Weisberger
Sheet	2	of	2
		Attorney Docket Number	024051/0146

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A27	JIM KHAROUF et al., "A trading room with a view", Futures, November 1998, 6 pages, Vol. 27-No. 11.	

Examiner Signature		Date Considered	4/07
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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Application Number	09/871,087
Filing Date	October 5, 2001
First Named Inventor	Gary Allan KEMP, II et al.
Group Art Unit	3624
Examiner Name	Weissberger, R.
Attorney Docket Number	024051-0146

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: February 11, 2003
(use as many sheets as necessary)

Sheet 1 of 1

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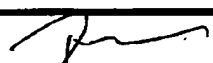
Application Number 09/971,087
 Filing Date October 5, 2001
 First Named Inventor Gary Allan KEMP, II et al.
 Group Art Unit 3624
 Examiner Name Weisberger, R.
 Attorney Docket Number 024051-0146

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	5,297,032		TROJAN et al.	03/22/1994	

FOREIGN PATENT DOCUMENTS						
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		Office ³	Number ⁴ Kind Code ⁵ (if known)			
	A2		WO 00/65510	PCT	11/02/2000	
	A3		WO 01/16830	PCT	03/08/2001	
	A4		WO 01/16852	PCT	03/08/2001	
	A5		WO 01/22315	PCT	03/29/2001	
	A6		WO 01/88808	PCT	11/22/2001	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet	1	of	1
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Application Number	09/971,087
Filing Date	October 5, 2001
First Named Inventor	Gary Allan KEMP, II et al
Group Art Unit	3624
Examiner Name	Welsberger, R.
Attorney Docket Number	024051-0148


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